

# **Exhibit 9: Certain Information Regarding JCR's Designated Compliance Officer**

#### **DESIGNATED COMPLIANCE OFFICER**

## Name:

Mr. Kenji Sumitani

## **Employment History:**

2002 to present Japan Credit Rating Agency, Ltd.

2022 - Present

Chief Compliance Officer

2021 - 2022

General Manager, Head of Rating Administration Dept., Chief Analyst, Rating Committee Member (Concurrently with Financial Institution Rating Dept.)

2020 - 2021

General Manager, Head of Rating Administration Dept. and Rating Planning Dept., Chief Analyst, Rating Committee Member

(Concurrently with Financial Institution Rating Dept.)

2019 - 2020

General Manager, Head of Rating Administration Dept., Rating Planning Dept. and Structured Finance Dept., Chief Analyst, Rating Committee Member

(Concurrently with Financial Institution Rating Dept.)

2016 - 2019

General Manager, Head of Rating Administration Dept., Chief Analyst, Rating Committee Member (Concurrently with Financial Institution Rating Dept.)

2012 - 2016

Division Manager of Rating Administration Division, Chief Analyst, Rating Committee Member (Concurrently with Financial Institution Rating Dept.)

2009 - 2012

Division Manager of Rating Administrative Management Division, Chief Analyst, Rating Committee Member

(Concurrently with Financial Institution Rating Dept.)



2009 - 2009

Chief Analyst of Financial Institution Rating Dept., Rating Committee Member

2007 - 2009

Chief Analyst of Rating Dept. I, Rating Committee Member

2007 - 2007

Chief Analyst of Financial Institution Group in Rating Dept. I, Rating Committee Member

2005 - 2007

Senior Analyst of Financial Institution Group in Rating Dept. I, Rating Committee Member

2004 - 2005

Senior Analyst of Financial Institution Rating Division in Rating Planning Dept.

2002 - 2004

Rating Senior Manager of Financial Institution Rating Division in Rating Planning Dept.

2022

Joined JCR

1989 - 2002

National Life Finance Corporation

(successively filled several posts such as loan review, research of corporate management and finance, bonds issuance, etc.)

# **Post-Secondary Education:**

1989: BA, Hitotsubashi University, Tokyo, Japan

#### **Employment Status:**

A full-time employee of Japan Credit Rating Agency, Ltd.